

RETAIL SETTLEMENT CODE AMENDMENTS:

CHAPTER 1: GENERAL AND ADMINISTRATIVE PROVISIONS

Section 1.7 is amended by adding the words in bold:

1.7 Coming into Force

This Code **and any amendments to this Code** shall come into force on the date that the Board publishes the Code **or an amendment to the Code by placing it on the Board's website, except where it is expressly stated otherwise** and except for the following provisions that shall come into effect on the date that subsection 26(1) of the *Electricity Act* comes into force: Chapters 3 through 8; and sections 10.6.1 and 10.6.2. Further, no STR related to a change in competitive electricity service provider shall take effect until subsection 26(1) of the *Electricity Act* has come into force.

CHAPTER 7: SETTLEMENT AND BILLING OPTIONS

Section 7.1 is amended by the addition of the following to the last sentence of the section:

"except for certain customer-specific, irregularly recurring, miscellaneous, non-energy charges that have been itemized in a list provided to a retailer by a distributor. Charges described in section 9.3 of the Electricity Distribution Rate Handbook, with the exception of charges described in section 9.3.15, shall be billed by the retailer."

CHAPTER 13: RETAIL MARKET READINESS

This Chapter sets out the obligations on distributors to certify that they have met particular retail market readiness requirements.

13.1 Self-Certification Statement #1

13.1.1

A distributor shall, on or before July 6, 2001, complete and test the systems listed below, so that these systems are sufficient, having regard to the volume and frequency of transactions which the distributor is likely to experience given its size, to allow it to be compliant with the relevant provisions of the Code once subsection 26(1) of the *Electricity Act* comes into force and shall certify that the systems listed below have been completed and tested in accordance with the requirements of this section by filing with the Board, on or before July 6, 2001, a completed

Self-Certification Statement in a form approved by the Board ("Statement #1"):

- (a) billing systems;
- (b) enrollment systems;
- (c) metering systems; and
- (d) retail settlement systems.

13.1.2

For the purposes of section 13.1.1, a distributor is not required to have completed systems integration testing or have updated its billing systems with rates to be in effect when subsection 26(1) of the *Electricity Act* comes into force.

13.2 Self-Certification Statement #2

13.2.1

A distributor shall, on or before August 10, 2001, complete integration testing of all necessary systems and processes, so that the integrated system is sufficient, having regard to the volume and frequency of transactions which the distributor is likely to experience given its size, to allow it to be compliant with the relevant provisions of the Code once subsection 26(1) of the *Electricity Act, 1998* comes into force and shall certify that integrated testing has been completed in accordance with the requirements of this section by filing with the Board, on or before August 10, 2001, a completed Self-Certification Statement in a form approved by the Board ("Statement #2").

13.2.2

For the purposes of section 13.2.1, a distributor is not required to have updated its billing systems with rates to be in effect when subsection 26(1) of the *Electricity Act* comes into force.

13.3 Self-Certification Statements

13.3.1

The Self-Certification Statements shall be signed by the President, Chief Executive Officer or Chair of the Board of Directors of the distributor.

13.3.2

Where a distributor fails to file a completed Self-Certification Statement by the required date, the distributor is required to file with the Board by the same date as the distributor was required to

file the relevant Self-Certification Statement, a letter signed by the President, Chief Executive Officer or Chair of the Board of Directors of the distributor setting out the reasons why the Self-Certification Statement has not been signed and detailing the extent to which necessary systems, in the case of Statement #1, or necessary systems and processes, in the case of Statement #2, have been completed and tested.

13.3.3

For the purposes of sections 13.1.1 and 13.2.1, a reference to the Code is to the Code as it exists on the date that the distributor files the respective Self-Certification Statement with the Board.

13.3.4

Where a distributor fails to file a completed Self-Certification Statement by the required date, the distributor shall file the completed Self-Certification Statement with the Board as soon as the distributor is able to attest to the statement in the Self-Certification Statement.

13.3.5

Compliance with the requirements of section 13.3.2 or 13.3.4 does not remedy a distributor's non-compliance with section 13.1.1 or 13.2.1.

13.4 The Self-Certification Questionnaire

The Board shall issue a Self-Certification Questionnaire (the "Questionnaire") containing questions related to market opening. A distributor shall file in two stages a completed Questionnaire in accordance with the instructions provided by the Board. A distributor shall file the Questionnaire with answers to the Stage One questions on or before July 6, 2001. A distributor shall file the fully completed Questionnaire on or before August 10, 2001.

13.5 Exemptions

13.5.1

This Chapter does not apply to the following classes of distributors, where the relief applied for in the application referred to below has been granted or the application remains outstanding:

- (a) distributors which have applied under subsection 86(1) of the *Act* for Board approval of the sale, lease or other disposition of their distribution system to Hydro One Networks Inc.; and
- (b) distributors which are the subject of an application by Hydro One Networks Inc. under subsection 86(2) of the *Act*.

13.5.2

This Chapter does not apply to the distributors listed below:

1382154 Ontario Limited
1438188 Ontario Inc.
1438189 Ontario Inc.
1438190 Ontario Inc.
1438191 Ontario Inc.
1438192 Ontario Inc.
1438193 Ontario Inc.
Belleville Electric Corporation
Blue Mountain Hydro Service Company Inc.
Clearview Hydro Electric Commission
Hanover Electric Services Inc.
Kapusksing Wires Inc.
Minto Hydro Inc.