

## **CHAPTER 13: RETAIL MARKET READINESS**

This Chapter sets out the obligations on distributors to certify that they have met particular retail market readiness requirements.

### **13.1 Self-Certification Statement #1**

#### **13.1.1**

A distributor shall, on or before July 6, 2001, complete and test the systems listed below, so that these systems are sufficient, having regard to the volume and frequency of transactions which the distributor is likely to experience given its size, to allow it to be compliant with the relevant provisions of the Code once subsection 26(1) of the *Electricity Act* comes into force and shall certify that the systems listed below have been completed and tested in accordance with the requirements of this section by filing with the Board, on or before July 6, 2001, a completed Self-Certification Statement in a form approved by the Board ("Statement #1"):

- (a) billing systems;
- (b) enrollment systems;
- (c) metering systems; and
- (d) retail settlement systems.

#### **13.1.2**

For the purposes of section 13.1.1, a distributor is not required to have completed systems integration testing or have updated its billing systems with rates to be in effect when subsection 26(1) of the *Electricity Act* comes into force.

## **13.2 Self-Certification Statement #2**

### **13.2.1**

A distributor shall, on or before December 14, 2001, complete integration testing of all necessary systems and processes, so that the integrated system is sufficient, having regard to the volume and frequency of transactions which the distributor is likely to experience given its size, to allow it to be compliant with the relevant provisions of the Code once subsection 26(1) of the *Electricity Act, 1998* comes into force and shall certify that integrated testing has been completed in accordance with the requirements of this section by filing with the Board, on or before December 14, 2001, a completed Self-Certification Statement in a form approved by the Board ("Statement #2").

### **13.2.2**

For the purposes of section 13.2.1, a distributor is not required to have updated its billing systems with rates to be in effect when subsection 26(1) of the *Electricity Act* comes into force.

## **13.3 Self-Certification Statements**

### **13.3.1**

The Self-Certification Statements shall be signed by the President, Chief Executive Officer or Chair of the Board of Directors of the distributor.

### **13.3.2**

Where a distributor fails to file a completed Self-Certification Statement by the required date, the distributor is required to file with the Board by the same date as the distributor was required to file the relevant Self-Certification Statement, a letter signed by the President, Chief Executive Officer or Chair of the Board of Directors of the distributor setting out the reasons why the Self-Certification Statement has not been signed and

detailing the extent to which necessary systems, in the case of Statement #1, or necessary systems and processes, in the case of Statement #2, have been completed and tested.

### **13.3.3**

For the purposes of sections 13.1.1 and 13.2.1, a reference to the Code is to the Code as it exists on the date that the distributor files the respective Self-Certification Statement with the Board.

### **13.3.4**

Where a distributor fails to file a completed Statement #2 by December 14, 2001, the distributor shall file the completed Statement #2 with the Board as soon as the distributor is able to attest to the statement in the Statement #2.

### **13.3.5**

Compliance with the requirements of section 13.3.2 or 13.3.4 does not remedy a distributor's non-compliance with section 13.1.1 or 13.2.1.

## **13.4 The Self-Certification Questionnaire**

The Board shall issue a Self-Certification Questionnaire (the "Questionnaire") containing questions related to market opening. A distributor shall file in two stages a completed Questionnaire in accordance with the instructions provided by the Board. A distributor shall file the Questionnaire with answers to the Stage One questions on or before July 6, 2001. A distributor shall file the fully completed Questionnaire on or before December 14, 2001.

## **13.5 Exemptions**

### **13.5.1**

This Chapter does not apply to the following classes of distributors, where the relief applied for in the application referred to below has been granted or the application remains outstanding:

- (a) distributors which have applied under subsection 86(1) of the *Act* for Board approval of the sale, lease or other disposition of their distribution system to Hydro One Networks Inc.; and
- (b) distributors which are the subject of an application by Hydro One Networks Inc. under subsection 86(2) of the *Act*.

### **13.5.2**

This Chapter does not apply to the distributors listed below:

1382154 Ontario Limited

1438188 Ontario Inc.

1438189 Ontario Inc.

1438190 Ontario Inc.

1438191 Ontario Inc.

1438192 Ontario Inc.

1438193 Ontario Inc.

Belleville Electric Corporation

Blue Mountain Hydro Service Company Inc.

Clearview Hydro Electric Commission

Hanover Electric Services Inc.

Kapuskasing Wires Inc.

Minto Hydro Inc

## **13.6 October Filings**

- 13.6.1 In accordance with chapter 12, a distributor shall expeditiously process requests to enter into Service Agreements with licensed retailers that have

requested a Service Agreement with the distributor. A distributor shall file a report with the Board, by no later than October 1, 2001, on the status of all requests by licensed retailers to enter into Service Agreements. For all requests where a Service Agreement has not been completed a distributor shall provide an explanation of the status of the request.

- 13.6.2 Where a distributor intends to be an IMO-administered market participant, it shall have completed the IMO's Uncoupled Operational Dry Run or the IMO's ability testing by no later than September 28, 2001. A distributor shall file written confirmation with the Board that it has completed the IMO's ability testing by no later than October 1, 2001.
- 13.6.3 A distributor shall complete all necessary systems and testing to have its EBT Standards compliant communication system operating by no later than October 26, 2001. A distributor shall file written confirmation with the Board that it has completed testing of these systems by no later than October 31, 2001.
- 13.6.4 Where a distributor fails to file in accordance with section 13.6.1, 13.6.2, or 13.6.3., the distributor remains obligated to file the required information with the Board.
- 13.6.5 Compliance with the requirements of section 13.6.4 does not remedy a distributor's non-compliance with sections 13.6.1, 13.6.2 or 13.6.3.