

Webinar - RRR Filing Guide

Conservation & Reporting March 2011

Welcome to the RRR Draft Filing Guide Webinar

- Audio portion provided by a conference bridge.
- Connect to the audio by calling (416) 641-6104 or Toll-free (866) 696-5894
- Participant code: 5623174
- Need Help? Call OEB at (416) 481-1967 ext. 555

Questions can be asked through:

Q&A tab in Microsoft Office Live Meeting



Agenda

- 1. Introduction
- 2. RRR Draft Guide
- 3. Process Changes
- 4. Input Forms
 - Annual Filings (2.1.4 & 2.1.5; 2.1.7 & 2.1.13; 2.1.10, 2.1.11 & 2.1.14)
 - Quarterly Filings (2.1.1; 2.1.2; 2.1.3 & 2.1.15)
- 5. Questions & Answers / Next Steps



Disclaimer Notice

This presentation has been prepared by Board staff and does not necessarily represent the view of the Board as a whole and is not binding on the Board.



Importance of RRR

Use of RRR information

- Yearbooks
- Stretch Factors
- CDM
- Increasing use of information by public
- Consistent and accurate data

RRR Team (OEB)

Ejiro Winthorpe, Analyst, Conservation & Reporting

Email: Ejiro.Winthorpe@ontarioenergyboard.ca

Telephone: (416) 544-5170

Stephanie Chan, Analyst, Conservation & Reporting

Email: Stephanie.Chan@ontarioenergyboard.ca

Telephone: (416) 544-5160

Anshula Ohri, Project Advisor, Conservation & Reporting

Email: Anshula.Ohri@ontarioenergyboard.ca

Telephone: (416) 440-7659

Viive Sawler, Manager, Conservation & Reporting

Email: Viive.Sawler@ontarioenergyboard.ca

Telephone: (416) 440-7691

RRR Working Group (Electricity Distributors)

| | Distributor | Name of Member |
|---|--------------------|--------------------------|
| 1 | Halton Hills | Tracy Rehberg-Rawlingson |
| 2 | Hydro One Networks | Anne Marie Reilly |
| 3 | Hydro One Networks | Norm Hann |
| 4 | Innisfil Hydro | Brenda Pinke |
| 5 | PowerStream | Christine Dade |
| 6 | Toronto Hydro | Anna-Christina Crespo |
| 7 | Toronto Hydro | Colleen Richmond |
| 8 | Veridian | Steve Zebrowski |

Draft only, input needed

Final version end of March 2011

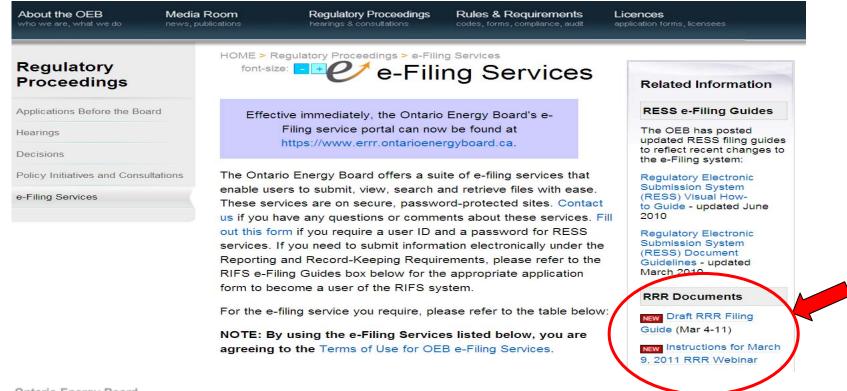
Sequential versions to be posted on OEB website

Evolving document

Draft Guide - Location

Link:

http://www.ontarioenergyboard.ca/OEB/Industry/Regulatory+Proceedings/e-Filing+Services



Pages 1 – 14

- 1. Location of RRR information
- 2. Registration as User
- 3. Filing Schedule
- 4. Contacts
- 5. Archives
- 6. Retention & Backup
- 7. Form by Form Explanation

Pages 15 – 128

- ☐ RRR sections 2.1.1 to 2.1.15
- ☐ All Input Forms
- Report Summary
- Instructions
- Crystal Reports/Print Buttons
- ☐ Layout in Guide next slide

RRR SECTION:

DUE:

AVAILABLE FOR INPUT:

METHOD OF FILING:

CONTENT:

NEW ON FORM:

TIPS:

EXAMPLE:

REASON(S) FOR CHANGE:

INPUT FORM:

Pages 129 – 151

Appendices

- 1. Registered User Application Form
- 2. RRR Revisions letter
- 3. DSC Chapter 7 ESQRs (2.1.4)
- 4. O. Reg 326/09 2.1.15
- 5. RRR Data Collection 2011
- 6. To Be Considered in the Future

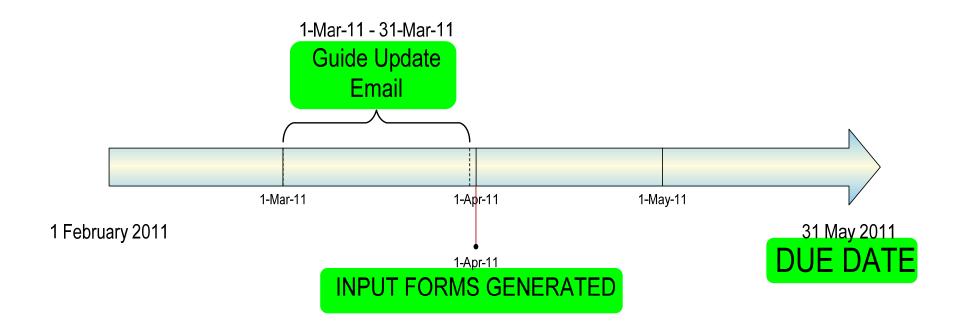
Filing Schedule 2011 – (Page 9)

| | | Jan-31 | Feb-28 | Mar-31 | Арг-30 | May-31 | Jun-30 | Jul-31 | Aug-31 | Sep-30 | 0ct-31 | Nov-30 | Dec-31 |
|--------------|--------------|--------|--------|--|-----------|--------|---------------------------------------|--------|---------------------------------------|--------|--------|--|-----------------|
| Electricity | 2.1.1 | | Online | | | Online | | | Online | | | Online | |
| Distributors | 2.1.2 | | Online | • • • • • • • • • • • • • • • • • • • | | Online | [| | Online | i i | | Online | |
| | 2.1.3 | | Online | | | Online | i i | | Online | | | Online | |
| | 2.1.4 | | (| Online | | | | | [| | | i ! | [|
| | 2.1.5 | | | | Online | | · | | † ! ! | | | | ! ! |
| | 2.1.6 | | | • • • • • • • • • • • • • • • • • • • | Hard Copy | | [| | | | | · | |
| | 2.1.7 | | | [| Online | | | | · | | | [| |
| | 2.1.8 | | | | - | | | | [| | | † ! ! | [|
| | 2.1.9 | | | | Hard Copy | | · | | † ! ! | | | | <u>.</u> |
| | 2.1.10 | | | • | Online | | [| | | | | ************************************** | ! |
| | 2.1.11 | | | [| Online | | | | • • • • • • • • • • • • • • • • • • • | | | [| |
| | 2.1.12 | | | i ! | Hard Copy | | (| | [| | | i ! | [|
| | 2.1.13 | | | | Hard Copy | | • • • • • • • • • • • • • • • • • • • | | † ! ! | | | | ! |
| | 2.1.14 | | | ************************************** | Online | | | | | | | ************************************** | <u> </u> |
| | 2.1.15 | Online | | | Online | | | Online | | | Online | | † |
| Се | rtifications | Dx | | i i | Online | | (| | [| | | | ! |

Revised Process

RRR PROCESS TIMELINES

For Quarterly Filings due May 31, 2011



- 2.1.4 & 2.1.5 Anshula
- 2.1.7 & 2.1.13 Stephanie
- 2.1.10, 2.1.11 & 2.1.14 Ejiro

Questions can be asked through:

Q&A tab in Microsoft Office Live Meeting

Annual Filing E 2.1.4 Service Quality & Reliability (Pages 39 – 66)

- » No change to input form
- » SQIs vs. ESQRs
- » DSC References/ Common Mistakes

» What to track

» Examples

Annual Filing E 2.1.4 Service Quality & Reliability (Pages 39 – 66)

| RRR 2.1.4.1 | ESQR | IMPORTANT RELATED TERM(S) | COMMON MISTAKES TO AVOID |
|----------------|--|---|--|
| .7 | DSC 7.8 Written Responses to Enquiries | DSC 7.1 for definition of "qualified enquiry". | Some distributors do not follow the definition of "Qualified Enquires" to track events for which the ESQR "Written Response to Enquiries" is measured. This leads to a situation where the distributor is unable to show that all qualified enquiries are responded to by the distributor. Some distributors do not track the time taken for events requiring a written response. |
| .8 | DSC 7.9 Emergency Response | DSC 7.1 for definition of "emergency". DSC 7.9.4 for definition of "response". | Some distributors do not follow the definition of emergency in the DSC in indentifying an emergency situation. Some distributors do not track the arrival time of the field crew at an emergency site. |



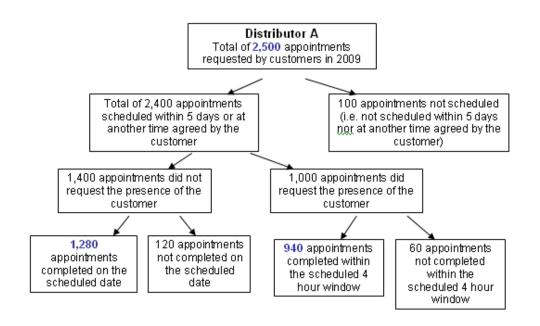
Annual Filing E 2.1.4 Service Quality & Reliability (Pages 39 – 66)

4. Distributors are expected to track a number of events in order to measure service quality requirements. The tables below provide a sample list of individual events to be tracked related to the reporting of ESQRs. There may be additional events that need to be tracked; this is not meant to be comprehensive list for all distributors. For clarification of the meaning of the words within quotation marks, please refer to Chapter 7 of the DSC (see Appendix 3).

| RRR 2.1.4.1.1 | 1 (Low Voltage) |
|--------------------|---|
| ESQR | Connection of New Services |
| Track | Date "new service" request for "low voltage" connection received. Date "service conditions" met. Date "new service" connection completed. |
| Calculation | Total requests in year for which "service conditions" were met (Denominator). For the total requests calculated in 1 above the number of requests that were connected within 5 business days (Numerator). |
| DSC Requirement | 90% or more |

Annual Filing E 2.1.4 Service Quality & Reliability (Pages 39 – 66)

Example 2: Appointment Scheduling



- Total appointments requested by customers: 2,500
- Total appointments scheduled as required:
 - 1,280 + 940 = 2,220
- Appointment Scheduled metric 2,220 / 2,500 = 89%

Annual Filing E 2.1.4 Service Quality & Reliability (Pages 39 – 66)

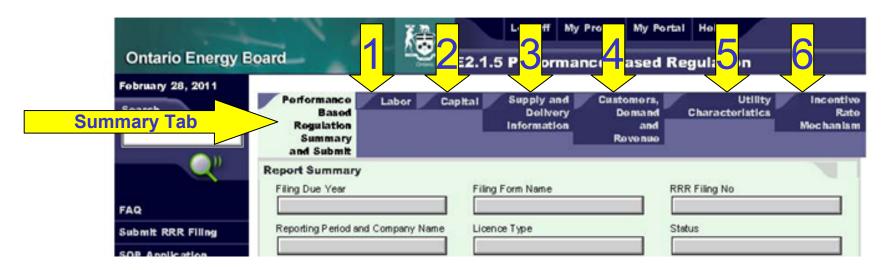
New Requirement

- Reconnection Performance Standard
- Distribution System Code Chapter 7, para 7.10
- Monthly Data Collection in 2011
- Reporting in 2012

Annual Filing E 2.1.5 Performance Based Reporting (Pages 67 – 81)

Revised with RRR dated May 1, 2010

- New input form to reflect revisions
 - 7 tabs for each section & summary



Annual Filing E 2.1.5 Performance Based Reporting (Pages 67 – 81)

Work in progress – definitions

 Circuit Kilometers - refer to the Canadian Electricity Association website for the definition

(http://www.electricity.ca/media/pdfs/Performance%20Excellence/Circuit%20Length%20Definition%5B1%5D.pdf).

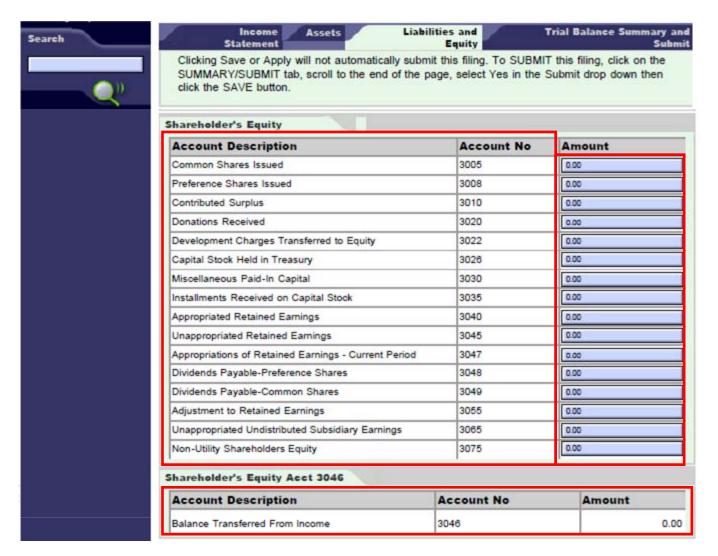
Incentive Rate Mechanism

- Regulatory return earned
- Preceding year

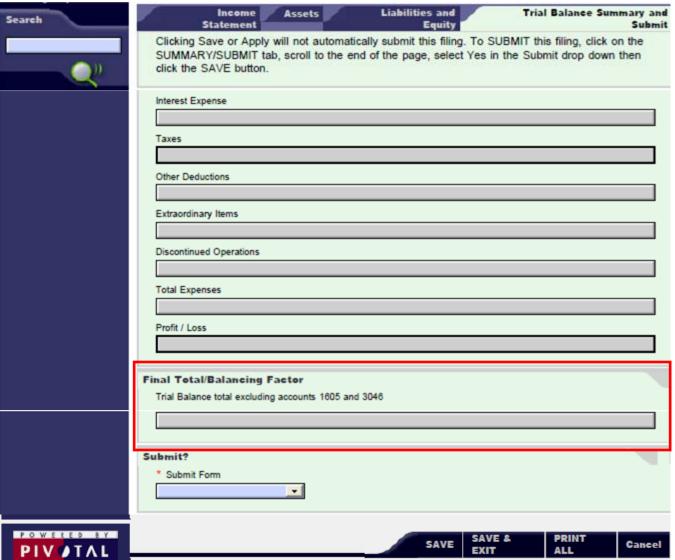
Annual E 2.1.7 Trial Balance (Pages 83 – 103)



E 2.1.7 Trial Balance (Pages 83 – 103)



E 2.1.7 Trial Balance (Pages 83 – 103)





E 2.1.7 Trial Balance (Pages 83 – 103)

| Trial Ba | lance (E217) | | March 03, 20 | |
|---|--------------------------|---|--------------|--|
| Filing Year 2011 | Filing Name | RRR Filing Nu | mber | |
| 2011 Reporting Period and Company Name April 2011 | Licence Type Distributor | Status Work-in-Progre | 224 | |
| Report Version 1 | Extension Granted | Extension Dea | | |
| Filing Due Date April 30, 2011 | Reporting From | Reporting To | | |
| Submitted On March 7, 2011 | Submitter Name | ubmitter Name Expiry Date April 30, 2011 | | |
| Color Legend: Assets Liabilities and I | Equity Income Statemen | t | | |
| Account Description | Acco | ount Number | Amoun | |
| Cash | | 1005 | XXXX | |
| Cash Advances and Working Funds | | 1010 | XXXX | |
| Interest Special Deposits | | 1020 | XXXX | |
| Dividend Special Deposits | | 1030 | XXXX | |
| Other Special Deposits | | 1040 | | |
| Term Deposits | | 1060 | | |
| Current Investments | | 1070 | | |
| Customer Accounts Receivable | | 1100 | | |
| Accounts Receivable - Services | | 1102 | | |
| Accounts Receivable - Recoverable Work | | 1104 | | |
| Accounts Receivable - Merchandise, Jobbing, etc. | | 1105 | | |
| Other Accounts Receivable | | 1110 | | |
| Accrued Utility Revenues | | 1120 | | |
| Accumulated Provision for Uncollectible AccountsC | redit | 1130 | | |
| Interest and Dividends Receivable | | 1140 | | |
| Rents Receivable | | 1150 | | |
| Notes Receivable | | 1170 | | |
| Prepayments | | 1180 | | |
| Miscellaneous Current and Accrued Assets | | 1190 | | |
| Accounts Receivable from Associated Companies | | 1200 | | |
| Notes Receivable from Associated Companies | | 1210 | | |
| Fuel Stock | | 1305 | | |
| Plant Materials and Operating Supplies | | 1330 | | |
| Flant Platerials and Operating Supplies | | | | |

| rial Balance Summary | | _ |
|-----------------------------|--|------------|
| ssets | | |
| | | |
| | | |
| | | |
| | XXXX | |
| Other Capital Assets: | XXXX | |
| Accumulated Amortization: | XXXX | |
| Net Assets: | XXXXXXXX | |
| iabilities And Equity | | |
| | -yyyy | |
| | | |
| | | |
| | aaaa | |
| | ***** | |
| Net Liabilities and Equity: | -xxxxxxx | |
| evenues | | |
| | -xxxx | |
| | -xxxx | |
| Other Operating Revenues: | -xxxx | |
| Other Income / Deductions: | -xxxx | |
| Investment Income: | -xxxx | |
| Total Revenues: | -xxxxxxxx | |
| xpenses | | |
| | vvvv | |
| | | |
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| | | |
| Amoruzation Expenses: | | |
| | | |
| | | |
| | | |
| | | |
| Disconunded Operations: | XXXX | |
| Total Expenses: | XXXXXXX | |
| | XXXXXXX | |
| Profit/Loss: | anana | |
| | iabilities And Equity Non-Current Liabilities: Current Liabilities: Other Liabilities Deferred Credit: Shareholders' Equity: Net Liabilities and Equity: evenues Sales of Electricity: Revenues from Services: Other Operating Revenues: Other Income / Deductions: Investment Income: | Inventory: |

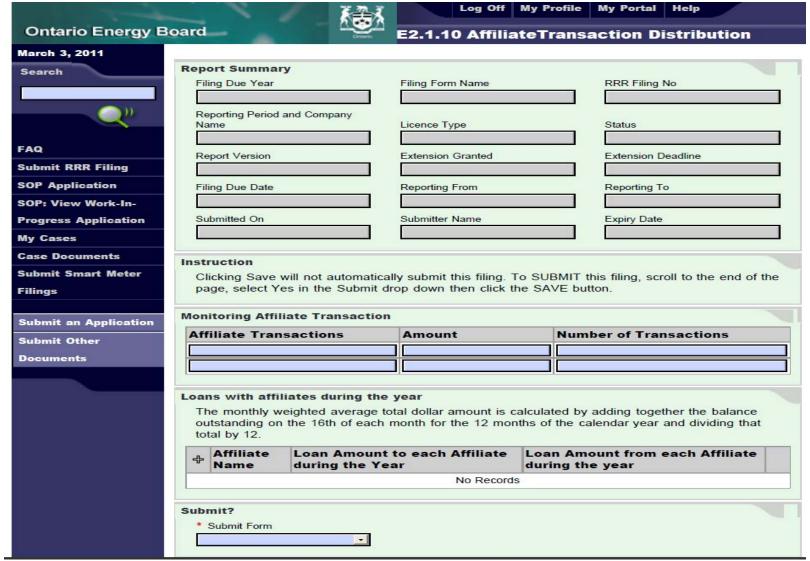


Page 14 of 14

E 2.1.13 Trial Balance vs. Audited Financial Statements (Page 115)

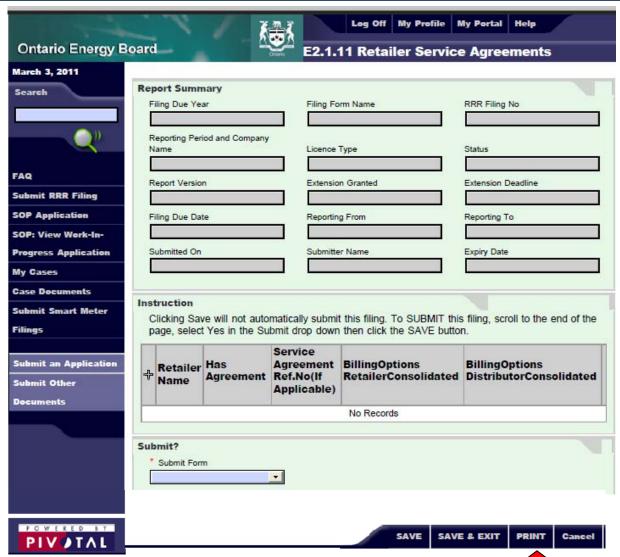
- Uniform system of account balances → Audited financial statements
 - Excel spreadsheet
 - PDF format

E 2.1.10 Affiliate Transactions (Pages 107 – 109)





E 2.1.11 Retailer Service Agreements (Pages 111 – 113)





E 2.1.11 Retailer Service Agreements (Pages 111 – 113)



Trade names associated with licensed or previously licensed companies

Click the column header to sort the table by **Trade Name**, **Licence Name**, **Licence Number**, **Licence Status** or **Licence Type**. Click the column again to switch between ascending/descending order.

- · View Electricity Retailer & Gas Marketer Trade Names
- · View Smart Sub-Metering Trade Names

Electricity Retailer & Gas Marketer Trade Names

| ▲ <u>Trade Name</u> | ▲Licence Name | ▲ Licence Number | ▲ Licence Status | ▲Licence Type |
|-------------------------|---|------------------|------------------|----------------------|
| Bullfrog Power | Bullfrog Power Inc. | ER-2010-0063 | Issued | Electricity Retailer |
| Canada Energy | Canada Energy Wholesalers Ltd | ER-2006-0184 | Issued | Electricity Retailer |
| Canada Energy | Canada Energy Wholesalers Ltd. | GM-2006-0204 | Issued | Gas Marketer |
| Commerce Energy | Just Energy Ontario L.P. | GM-2010-0152 | Issued | Gas Marketer |
| Constellation NewEnergy | Constellation NewEnergy Canada Inc. | ER-2007-0958 | Issued | Electricity Retailer |
| Direct Energy | Direct Energy Marketing Limited | ER-2005-0226 | Cancelled | Electricity Retailer |
| Direct Energy | Direct Energy Marketing Limited, Toronto: ; ER-2005-0226; | ER-2010-0045 | Issued | Electricity Retailer |
| Direct Energy | Direct Energy Marketing Limited | GM-2004-0241 | Cancelled | Gas Marketer |
| Direct Energy | Direct Energy Marketing Limited | GM-2009-0058 | Issued | Gas Marketer |

E 2.1.14 Net Metering (Pages 117 – 119)

| Ontario Energy Bo | ard | Ortorio | E 2.1.14 Net Me | etering | |
|-----------------------|--------------|---|--|------------|--|
| March 1, 2011 | | | | | |
| Search | Filing Due | e Year | Filing Form Name | | RRR Filing No |
| | Vision Bally | W NO. | | | |
| | Filing Des | scription and Company | Licence Type | | Status |
| | | | | | |
| | Report V | ersion | Extension Granted | | Extension Deadline |
| FAQ | | | | | |
| Submit RRR Filing | Filing Du | e Date | Reporting From | | Reporting To |
| SOP Application | | | | | |
| SOP: View Work-In- | Submitted | d On | Submitter Name | | Expiry Date |
| Progress Application | - | | | | See the second s |
| My Cases | | indicate the number of Ne per 31 of the reported yea | 10 Table 1 Tab | nd Total C | apacity Installed as of |
| Case Documents | Туре | Number of Net Mete | | Total In | stalled Capacity (kW) |
| Submit Smart Meter | Biomass | | | | |
| Filings | Solar | | | i | |
| | Water | | | | |
| Submit an Application | Wind | | | | |
| Submit Other | | | | | |



Quarterly Filings E2.1.1, E2.1.2, E2.1.3, E2.1.15

• 2.1.1, 2.1.3 & 2.1.15 – Ejiro

• 2.1.2 - Stephanie

Questions can be asked through:

Q&A tab in Microsoft Office Live Meeting

E 2.1.1 Deferral/ Variance Accounts (Pages 17 – 21)

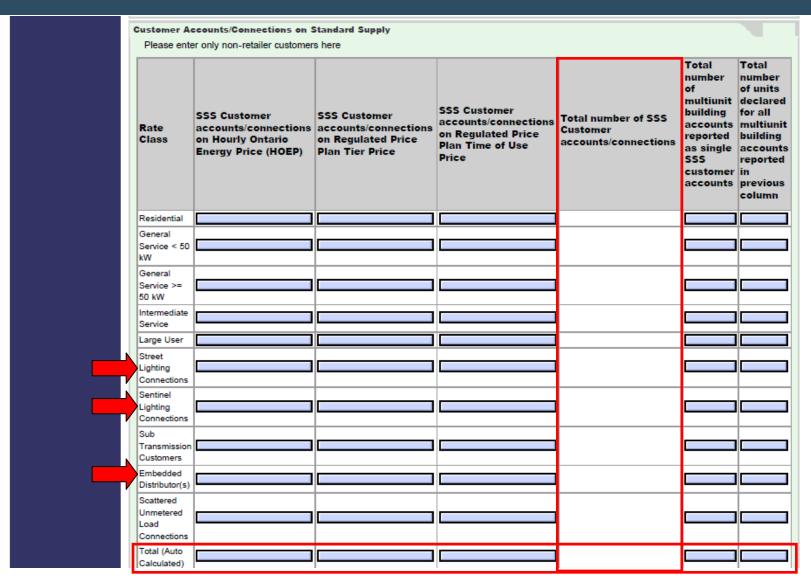
Ontario Energy Board E2.1.1 Deferral/ Variance Accounts February 15, 2011 http://www.oeb.gov.on.ca/ Search Report Summary Filing Due Year Filing Form Name RRR Filing No Reporting Period and Company Name Licence Type Status FAQ Report Version Extension Granted Extension Deadline Submit RRR Filing **SOP Application** Filing Due Date Reporting From Reporting To SOP: View Work-In-**Progress Application** Submitted On Submitter Name Expiry Date **My Cases** Case Documents **Submit Smart Meter** Instructions Filings 1. To submit this filing, the current opening balance on the 2.1.1 form must equal the closing balance as reported in your 2.1.1 filing for the previous quarter. Submit an Application 2. The closing balance from the previous quarter filing travels to the opening balance on the date the current form is generated. If the closing account balance of the previous guarter has changed Submit Other in your general ledger since you filed the information and the change is not material enough to justify a revision to the previous quarter's 2.1.1 filing, make a manual entry in the "Other Adjustments **Documents** this Period" column to report the correct closing balance for the current quarter. 3. Debit amounts are reported as positive numbers and credit amounts are reported as negative numbers.



E 2.1.1 Deferral/ Variance Accounts (Pages 17 – 21)

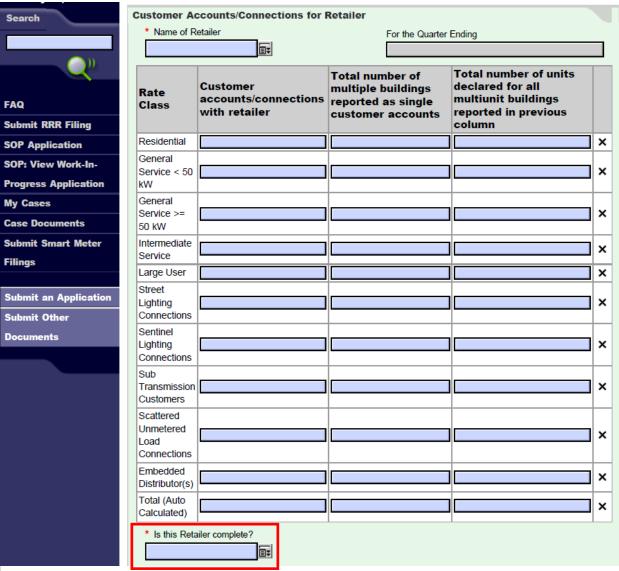
| Account | Quarter Opening Balance | Carrying Charges this Period | Net Accruals this Period | Other Adjustments this Period | Quarter Closing Balance |
|---|-------------------------------|---------------------------------------|-----------------------------------|--|-------------------------------|
| 1508 Other Regulatory Assets | | | | | |
| 1518 RCVA Retail | | | | | |
| 1521 Special Purpose Charge Assessment Variance Account | | | | | |
| 1525 Miscellaneous Deferred Debit | | | | | |
| 1531 Renewable Connection Capital Deferral Account | | | | | |
| 1532 Renewable Connection OM&A Deferral Account | | | | | |
| 1533 Renewable Generation Connection Funding Adder Deferral | | | | | |
| Account 1534 Smart Grid Capital Deferral Account | | | | | |
| 1535 Smart Grid Capital OM&A Account | | | | | |
| 1536 Smart Grid Funding Adder Deferral Account | | | | | |
| 1548 RCVA STR | | | | | |







| 1 | + Retailer | Is this Retailer complete? | |
|-----|--|----------------------------|---|
| 100 | ⇒ xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx | No | × |
| - | ⇒ xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx | No | × |
| | * Submit Form | | |
| | | | |







E 2.1.3 Quarterly Energy Sales (Pages 31-37)

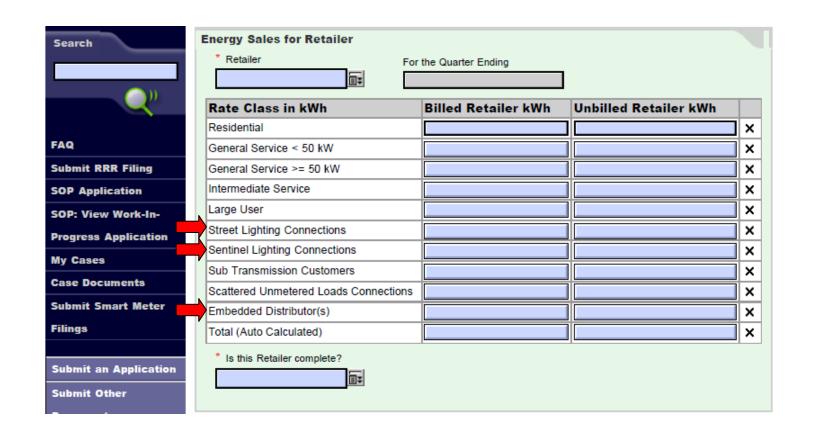




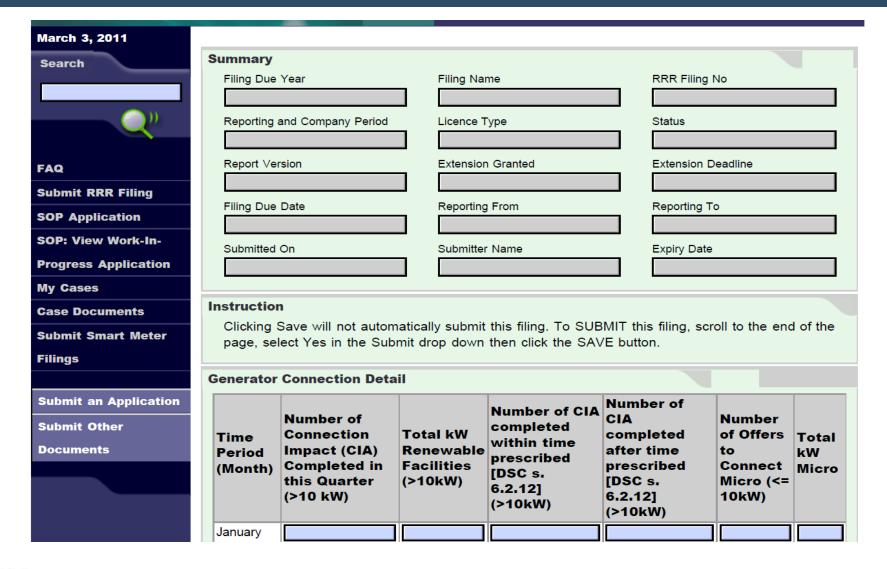
Quarterly E 2.1.3 Quarterly Energy Sales (Pages 31-37)



E 2.1.3 Quarterly Energy Sales (Pages 31-37)



E 2.1.15 Generator Connection (Pages 121-124)



Questions & Answers / Next Steps

 Send written comments by March 23, 2011 to Anshula Ohri (anshula.ohri@ontarioenergyboard.ca)

 Final RRR Filing Guide to be posted on OEB website

Webinar presentation and Q&As to be posted on OEB website

Thank You